

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/439,152
Filing Date	November 12, 1999
First Named Inventor	Kurt W. Piersol
Art Unit	2622
Examiner Name	Arthur G. Evans
Attorney Docket Number	74451P106

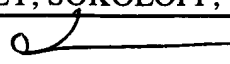
Total Number of Pages in This Submission 21

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<div>Cited Reference #27 (2 pgs.); postcard.</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> PTO/SB/08		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

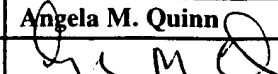
Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael J. Mallie, Reg. No. 36,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	November 13, 2003

## CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Angela M. Quinn		
Signature		Date	11-13-03

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 424.00

Complete if Known

Application Number 09/439,152  
Filing Date November 12, 1999  
First Named Inventor Kurt W. Piersol  
Examiner Name Arthur G. Evans  
Group/Art Unit 2622  
Attorney Docket No. 74451P106

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
25	21*	4	\$72.00
Independent Claims	6	4*	\$172.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					244.00

\*or number previously paid, if greater. For Reissues, see below

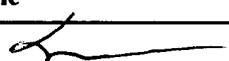
## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3)					180.00

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type) Michael J. Mallie  
Registration No. (Attorney/Agent) 36,591  
Telephone (408) 720-8300  
Signature   
Date 11/13/03

010616/0121 PAGE 2

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DATE	DESCRIPTION	ACCT. NO.	AMOUNT
2/4/2000	U.S. Patent and Trademark Office recordation of assignment fee for A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM USSN: 09/439,152 FILED: 11/12/99 INV: Piersol, et al. 74451.P106 MJM/smo Risch Corporation	9670.1	\$ 40.00

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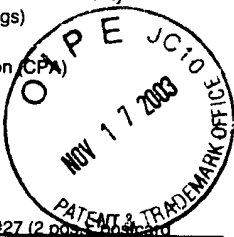
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
LOS ANGELES

Application No.: 09/439,152 Filing Date: 11/12/1999 BSTZ Docket #: 74451.P106 Atty/Sec: MJM/amg  
Date Mailed: 11/13/2003 Docket Due Date(s): 11/13/2003 Client: RICOH CORPORATION  
Title A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM

Inventor(s) Piersol, Ito

*The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:*

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Amendment: Response ( <u>11</u> pgs)                        | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08 ( <u>5</u> pgs) |
| <input type="checkbox"/> Appeal Brief & two copies ( <u>  </u> pgs)                             | <input type="checkbox"/> Issue Fee Transmittal (original & copy)                                 |
| <input type="checkbox"/> Application:<br>( <u>  </u> pages w/ cover & abstract)                 | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)                  |
| <input type="checkbox"/> Application Amended to Reflect Claim of Priority                       | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Assignment & Cover Sheet ( <u>  </u> pgs)                              | <input type="checkbox"/> Petition for: <u>  </u>   |
| <input checked="" type="checkbox"/> Certificate of Mailing                                      | <input type="checkbox"/> Request for Continued Examination (RCE)                                 |
| <input type="checkbox"/> Continued Prosecution Application (CPA)                                | <input type="checkbox"/> Reply Brief ( <u>  </u> pgs)  |
| <input type="checkbox"/> Declaration & POA ( <u>  </u> pgs)                                     | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request                      |
| <input type="checkbox"/> Drawings: <u>  </u> sheets, <u>  </u> figures                          | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter                |
| <input type="checkbox"/> Express Mail No: <u>  </u>   | <input type="checkbox"/> Terminal Disclaimer   |
| <input type="checkbox"/> Extension of Time: <u>  </u>   | <input type="checkbox"/> Transmittal of Formal Drawings  |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy)                           | <input type="checkbox"/> Transmittal of Publication Fee Due                                      |
| <input checked="" type="checkbox"/> Other <u>Copy of Cited Reference #27 (2 pgs) - Attached</u> | <input checked="" type="checkbox"/> Transmittal Letter   |



SV

Assignee: Ricoh Co., Ltd.

☒ Check No. 61231 in the Amount of \$ 244.00  
☒ Check No. 61230 in the Amount of \$ 180.00

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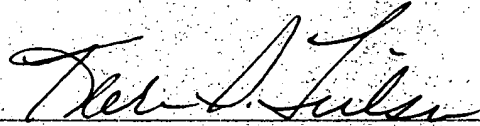
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November 13, 2003

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DOLLARS \$ 244.00

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61.P106 - Ricoh Corporation  
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tional claims filing fee  
"A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC  
MENT MANAGEMENT SYSTEM"  
No.: 09/439,152  
d: 11/12/99  
Kurt W. Piersol, et al.

61231

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November 13, 2003

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